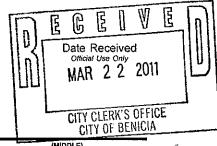
CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION A PUBLIC DOCUMENT

STATEMENT OF ECONOMIC INTERESTS COVER PAGE



Please type or print in ink.		CI	TY OF BENICIA
NAME OF FILER (LAST)	(FIRST)	(MIDDLE)	
SCHWARTZMAN	ALAN	М	က <u> က</u>
1. Office, Agency, or Court			C C F
Agency Name			3 552
CITY COUNCIL			T. ES
Division, Board, Department, District, if applicable	Your Position		
	COUNCIL MEMBER		358
► If filing for multiple positions, list below or on an attachment.			2: ± <u>8</u>
Agency:	Position:		00
	Position:		<u>z</u>
2. Jurisdiction of Office (Check at least one box)	_		
☐ State	☐ Judge (Statewide Jurisdiction)		
Multi-County	County of		
City of BENICIA	Other		
3. Type of Statement (Check at least one box)			
Annual: The period covered is January 1, 2010, through Decembe	er 31, Leaving Office: Date Left/_	1	
2010or-	(Check one)		
The period covered is, through December 2010.	The period covered is January 1, leaving office.	, 2010, through the	date of
Assuming Office: Date/	The period covered is/ of leaving office.	/, throug	jh the date
Candidate: Election Year Office sought, it	f different than Part 1:		
4. Schedule Summary			
Check applicable schedules or "None."	► Total number of pages including this cover	page:5	
Schedule A-1 - Investments - schedule attached	Schedule C - Income, Loans, & Business		ıle attached
Schedule A-2 - Investments – schedule attached	Schedule D • Income – Gifts – schedule a		
Schedule B - Real Property - schedule attached	Schedule E - Income - Gifts - Travel Pay	ments – schedule a	attached
-or-			
☐ None - No reportable in	nterests on any schedule		
nerein and in any attached schedules is true and complete. I acknowled	•		
I certify under penalty of perjury under the laws of the State of Cali	fornia that th		
Date Signed Mauh 27, 20//	Signature _		
(unxilla, dely, year)			

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

... Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name
SCHWARTZMAN, ALAN

	LIAME OF BURNIESS FUTTY
► NAME OF BUSINESS ENTITY Bank of America	NAME OF BUSINESS ENTITY
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Banking	
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	\$2,000 - \$10,000 \$10,001 - \$100,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock Other	Stock Other (Describe)
Partnership () Income Received of \$0 - \$499	Partnership O Income Received of \$0 - \$499
O Income Received of \$500 or More (Report on Schedule C)	O Income Received of \$500 or More (Report on Schedule C)
IS ADDITION OF THE PATE.	IF ADDITIONAL ENTERS
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
FIRST SOLAR	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
AAAAAA AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA	
MANUFACTURER SOLAR PANELS	
FAIR MARKET VALUE	FAIR MARKET VALUE
	☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
XX Stock ☐ Other	Stock Other
(Describe)	(Describe)
Partnership O Income Received of \$0 - \$499	Partnership O Income Received of \$0 - \$499
O Income Received of \$500 or More (Report on Schedule C)	O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
i At Lionber, do i bate.	· ·
ACQUIRED DISPOSED	ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
SYMANTEC	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
COMPUTER SECURITY	
FAIR MARKET VALUE	FAIR MARKET VALUE
汉 \$2,000 - \$10,000 ☐ \$10,001 - \$100,000	[\$2,000 - \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT Stock Other	NATURE OF INVESTMENT
Stock Other (Describe)	Stock Other (Describe)
Partnership O Income Received of \$0 - \$499	Partnership O Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/	/
VORONIVED DIOLOGED	I AOMOINED DIOPOSED
Comments	,

SCHEDULE A-2 Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

CALIFORNIA FORM FAIR POLITICAL PRACTICES COMMISSION Name

SCHWARTZMAN, ALAN

▶ 1. BUSINESS ENTITY OR TRUST	► 1. BUSINESS ENTITY OR TRUST
AMS FINANCIAL INC	JACQUELINE SCHWARTZMAN MFT
Name 615 FIRST STREET, BENICIA 94510	Name 111 WEST F STREET, BENICIA, CA 94510
Address (Business Address Acceptable)	Address (Business Address Acceptable)
Check one Trust, go to 2 Business Entity, complete the box, then go to 2	Check one Trust, go to 2 Business Entity, complete the box, then go to 2
GENERAL DESCRIPTION OF BUSINESS ACTIVITY MORTGAGE BROKERAGE	GENERAL DESCRIPTION OF BUSINESS ACTIVITY PSYCHOTHERAPY
FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$2,000 - \$10,000	FAIR MARKET VALUE IF APPLICABLE, LIST DATE: □ \$2,000 - \$10,000 □ \$100,001 - \$100,000 □ \$100,001 - \$1,000,000 □ Over \$1,000,000
NATURE OF INVESTMENT Sole Proprietorship Partnership CORPORATION Other YOUR BUSINESS POSITION	NATURE OF INVESTMENT Sole Proprietorship Partnership Other YOUR BUSINESS POSITION
► 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)	➤ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)
S0 - \$499	□ \$0 - \$499
➤ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)	➤ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)
4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST Check one box: INVESTMENT REAL PROPERTY	► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST Check one box: INVESTMENT REAL PROPERTY
Name of Business Entity or Street Address or Assessor's Parcel Number of Real Property	Name of Business Entity or Street Address or Assessor's Parcel Number of Real Property
Description of Business Activity <u>or</u> City or Other Precise Location of Real Property	Description of Business Activity or City or Other Precise Location of Real Property
FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$2,000 - \$10,000 \$10,001 - \$100,000 ACQUIRED DISPOSED Over \$1,000,000	FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$2,000 - \$10,000
NATURE OF INTEREST Property Ownership/Deed of Trust Stock Partnership	NATURE OF INTEREST Property Ownership/Deed of Trust Stock Partnership
Leasehold Other	Leasehold Other
Check box if additional schedules reporting investments or real property are attached	Check box if additional schedules reporting investments or real property are attached
Comments:	FPPC Form 700 (2010/2011) Sch. A-2

SCHEDULE B Interests in Real Property (Including Rental Income)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
SCHWARTZMAN, ALAN

➤ STREET ADDRESS OR PRECISE LOCATION 615 FIRST STREET CITY BENICIA, CA 94510 FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$2,000 - \$10,000 \$10,001 - \$100,000 \$10,001 - \$100,000 \$10,001 - \$100,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000 \$10,001 - \$1,000 \$10,001 - \$1,000 \$10,001 - \$10,000 \$10,001		
CITY BENICIA, CA 94510 FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000 \$10,001 - \$100,000 \$10,001 - \$100,000 \$10,001 - \$1,000,000 \$10,001 -	STREET ADDRESS OR PRECISE LOCATION	➤ STREET ADDRESS OR PRECISE LOCATION
BENICIA, CA 94510 FAIR MARKET VALUE S2,000 - \$10,000 FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000 \$10,001 - \$100,000 \$10,001 - \$100,000 \$10,001 - \$100,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000,000 \$10,001 - \$1,000 \$10,001 - \$1,000 \$10,001 - \$1,000 \$10,001 - \$1,000 \$10,001 - \$1,000 \$10,001 - \$1,000 \$10,001 - \$10,000 \$10,00	615 FIRST STREET	
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FAIR MARKET VALUE FAPPLICABLE, UST DATE: \$2,000 - \$10,000 \$10,001 - \$100,000 \$10,001 - \$10,000 \$10,000 \$10,001 - \$10,000 \$10,001 - \$10,000 \$10,001 - \$10,000 \$10,001 - \$10,000 \$10,001 - \$10,000 \$10,001 - \$10,000 \$1,001 - \$10,000 \$10,001 - \$1	BENICIA, CA 94510	- { }
Convership/Deed of Trust	FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$2,000 - \$10,000	\$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 ACQUIRED DISPOSED
Convership/Deed of Trust		
Leasehold		
IF RENTAL PROPERTY, GROSS INCOME RECEIVED IF RENTAL PROPERTY, GROSS INCOME RECEIVED \$0 - \$499	Ownership/Deed of Trust Easement	Ownership/Deed of Trust Easement
Sources of Rental Income: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more. AMS FINANCIAL INC JACQUELINE SCHWARTZMAN MFT *You are not required to report loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business Make of Lender* NAME OF LENDER* ADDRESS (Business Address Acceptable) \$0 - \$499		
Sources of Rental Income: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more. AMS FINANCIAL INC JACQUELINE SCHWARTZMAN MFT *You are not required to report loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business Make of Lender* NAME OF LENDER* ADDRESS (Business Address Acceptable) \$0 - \$499	IF RENTAL PROPERTY, GROSS INCOME RECEIVED	IF RENTAL PROPERTY, GROSS INCOME RECEIVED
SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more. AMS FINANCIAL INC JACQUELINE SCHWARTZMAN MFT *You are not required to report loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows: NAME OF LENDER* ADDRESS (Business Address Acceptable) \$10,001 - \$100,000 OVER \$100,000 \$0URCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more. **SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more. **NAMS FINANCIAL INC JACQUELINE SCHWARTZMAN MFT **NAME OF LENDER** ADDRESS (Business Address Acceptable)	S0 - \$499 S500 - \$1,000 S1,001 - \$10,000	
interest, list the name of each tenant that is a single source of income of \$10,000 or more. AMS FINANCIAL INC JACQUELINE SCHWARTZMAN MFT You are not required to report loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows: NAME OF LENDER* ADDRESS (Business Address Acceptable) ADDRESS (Business Address Acceptable)		
You are not required to report loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows: NAME OF LENDER ADDRESS (Business Address Acceptable) ADDRESS (Business Address Acceptable)	interest, list the name of each tenant that is a single source of	interest, list the name of each tenant that is a single source of
* You are not required to report loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows: NAME OF LENDER* ADDRESS (Business Address Acceptable) ADDRESS (Business Address Acceptable)	income of \$10,000 of more.	modifie of 4 re,000 or more.
of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows: NAME OF LENDER* ADDRESS (Business Address Acceptable) ADDRESS (Business Address Acceptable)	AMS FINANCIAL INC	
of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows: NAME OF LENDER* ADDRESS (Business Address Acceptable) ADDRESS (Business Address Acceptable)	AMS FINANCIAL INC	
ADDRESS (Business Address Acceptable) ADDRESS (Business Address Acceptable)	AMS FINANCIAL INC	-
	AMS FINANCIAL INC JACQUELINE SCHWARTZMAN MFT You are not required to report loans from commerce of business on terms available to members of the	tial lending institutions made in the lender's regular course public without regard to your official status. Personal loans
	AMS FINANCIAL INC JACQUELINE SCHWARTZMAN MFT You are not required to report loans from commerce of business on terms available to members of the and loans received not in a lender's regular course	cial lending institutions made in the lender's regular course public without regard to your official status. Personal loans to of business must be disclosed as follows:
BUSINESS ACTIVITY, IF ANY, OF LENDER BUSINESS ACTIVITY, IF ANY, OF LENDER	AMS FINANCIAL INC JACQUELINE SCHWARTZMAN MFT You are not required to report loans from commerce of business on terms available to members of the and loans received not in a lender's regular course	cial lending institutions made in the lender's regular course public without regard to your official status. Personal loans to of business must be disclosed as follows:
	AMS FINANCIAL INC JACQUELINE SCHWARTZMAN MFT You are not required to report loans from commerce of business on terms available to members of the and loans received not in a lender's regular course NAME OF LENDER*	cial lending institutions made in the lender's regular course public without regard to your official status. Personal loans of business must be disclosed as follows:
INTEREST RATE TERM (Months/Years) INTEREST RATE TERM (Months/Years)	AMS FINANCIAL INC JACQUELINE SCHWARTZMAN MFT You are not required to report loans from commerce of business on terms available to members of the and loans received not in a lender's regular course NAME OF LENDER* ADDRESS (Business Address Acceptable)	cial lending institutions made in the lender's regular course public without regard to your official status. Personal loans of business must be disclosed as follows: NAME OF LENDER* ADDRESS (Business Address Acceptable)
	AMS FINANCIAL INC JACQUELINE SCHWARTZMAN MFT You are not required to report loans from commerce of business on terms available to members of the and loans received not in a lender's regular course NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER	cial lending institutions made in the lender's regular course public without regard to your official status. Personal loans of business must be disclosed as follows: NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER
	AMS FINANCIAL INC JACQUELINE SCHWARTZMAN MFT You are not required to report loans from commerce of business on terms available to members of the and loans received not in a lender's regular course NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER INTEREST RATE TERM (Months/Years)	sial lending institutions made in the lender's regular course public without regard to your official status. Personal loans of business must be disclosed as follows: NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER INTEREST RATE TERM (Months/Years)
	AMS FINANCIAL INC JACQUELINE SCHWARTZMAN MFT You are not required to report loans from commerce of business on terms available to members of the and loans received not in a lender's regular course NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER INTEREST RATE TERM (Months/Years)	sial lending institutions made in the lender's regular course public without regard to your official status. Personal loans of business must be disclosed as follows: NAME OF LENDER* ADDRESS (Business Address Acceptable)
HIGHEST BALANCE DURING REPORTING PERIOD HIGHEST BALANCE DURING REPORTING PERIOD	AMS FINANCIAL INC JACQUELINE SCHWARTZMAN MFT You are not required to report loans from commerce of business on terms available to members of the and loans received not in a lender's regular course NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER INTEREST RATE Mone HIGHEST BALANCE DURING REPORTING PERIOD	public without regard to your official status. Personal loans of business must be disclosed as follows: NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER INTEREST RATE TERM (Months/Years)
HIGHEST BALANCE DURING REPORTING PERIOD \$500 - \$1,000 \$1,001 - \$10,000 \$500 - \$1,000 \$1,001 - \$10,000	AMS FINANCIAL INC JACQUELINE SCHWARTZMAN MFT You are not required to report loans from commerce of business on terms available to members of the and loans received not in a lender's regular course NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER INTEREST RATE TERM (Months/Years) Whichest Balance During Reporting Period \$500 - \$1,000	public without regard to your official status. Personal loans of business must be disclosed as follows: NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER INTEREST RATE TERM (Months/Years)
HIGHEST BALANCE DURING REPORTING PERIOD HIGHEST BALANCE DURING REPORTING PERIOD	AMS FINANCIAL INC JACQUELINE SCHWARTZMAN MFT You are not required to report loans from commerce of business on terms available to members of the and loans received not in a lender's regular course NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER INTEREST RATE TERM (Months/Years) Whichest Balance During Reporting Period \$500 - \$1,000	public without regard to your official status. Personal loans of business must be disclosed as follows: NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER INTEREST RATE TERM (Months/Years)
HIGHEST BALANCE DURING REPORTING PERIOD HIGHEST BALANCE DURING REPORTING PERIOD	AMS FINANCIAL INC JACQUELINE SCHWARTZMAN MFT You are not required to report loans from commerce of business on terms available to members of the and loans received not in a lender's regular course NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER INTEREST RATE Mone HIGHEST BALANCE DURING REPORTING PERIOD	public without regard to your official status. Personal loans of business must be disclosed as follows: NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER INTEREST RATE TERM (Months/Years)
HIGHEST BALANCE DURING REPORTING PERIOD S500 - \$1,000 S1,001 - \$10,000 S500 - \$1,000 S1,001 - \$10,000	AMS FINANCIAL INC JACQUELINE SCHWARTZMAN MFT You are not required to report loans from commerce of business on terms available to members of the and loans received not in a lender's regular course NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER INTEREST RATE TERM (Months/Years)	sial lending institutions made in the lender's regular course public without regard to your official status. Personal loans of business must be disclosed as follows: NAME OF LENDER* ADDRESS (Business Address Acceptable)

SCHEDULE C Income, Loans, & Business Positions

(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name
SCHWARTZMAN, ALAN

NAME OF COURSE OF INCOME	► 1. INCOME RECEIVED
NAME OF SOURCE OF INCOME	NAME OF SOURCE OF INCOME
ROYAL ALLIANCE ASSOCIATES	
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
ONE WORLD FINANCIAL PLAZA NY,NY 10281	
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
BROKER/DEALER/INVESTMENT ADVISOR	
YOUR BUSINESS POSITION	YOUR BUSINESS POSITION
FINANCIAL ADVISOR	
GROSS INCOME RECEIVED	GROSS INCOME RECEIVED
☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000	\$500 - \$1,000 \$1,001 - \$10,000
X \$10,001 - \$100,000 OVER \$100,000	\$10,001 - \$100,000 OVER \$100,000
CONSIDERATION FOR WHICH INCOME WAS RECEIVED	CONSIDERATION FOR WHICH INCOME WAS RECEIVED
Salary Spouse's or registered domestic partner's income	Salary Spouse's or registered domestic partner's income
Loan repayment Partnership	☐ Loan repayment ☐ Partnership
Sale of	Sale of
(Property, car, boat, etc.)	(Property, car, boat, etc.)
Commission or Rental Income, list each source of \$10,000 or more	Commission or Rental Income, list each source of \$10,000 or more
Other ADVISOR FEES (Describe)	Other(Describe)
	lending institutions, or any indebtedness created as part
	lending institutions, or any indebtedness created as part in the lender's regular course of business on terms our official status. Personal loans and loans received
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to y	lending institutions, or any indebtedness created as part in the lender's regular course of business on terms our official status. Personal loans and loans received
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to y not in a lender's regular course of business must be converged to the public of Lender.	lending institutions, or any indebtedness created as part in the lender's regular course of business on terms our official status. Personal loans and loans received disclosed as follows:
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to y not in a lender's regular course of business must be	lending institutions, or any indebtedness created as part in the lender's regular course of business on terms our official status. Personal loans and loans received disclosed as follows: INTEREST RATE TERM (Months/Years)
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to y not in a lender's regular course of business must be dependent of Lender* ADDRESS (Business Address Acceptable)	lending institutions, or any indebtedness created as part in the lender's regular course of business on terms our official status. Personal loans and loans received disclosed as follows: INTEREST RATE TERM (Months/Years)
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to y not in a lender's regular course of business must be considered to the public without regard to your property.	lending institutions, or any indebtedness created as part in the lender's regular course of business on terms our official status. Personal loans and loans received disclosed as follows: INTEREST RATE TERM (Months/Years)
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to y not in a lender's regular course of business must be described in the course of business activity, if any, of lender	lending institutions, or any indebtedness created as part in the lender's regular course of business on terms our official status. Personal loans and loans received lisclosed as follows: INTEREST RATE TERM (Months/Years) Whome SECURITY FOR LOAN
* You are not required to report loans from commercial of a retail installment or credit card transaction, made available to members of the public without regard to y not in a lender's regular course of business must be considered to the public without regard to y not in a lender's regular course of business must be considered to the public without regard to y not in a lender's regular course of business must be considered to the public without regard to y not in a lender's regular course of business must be considered to the public without regard to y not in a lender's regular course of business must be considered to the public without regard to y not in a lender's regular course of business must be considered to the public without regard to y not in a lender's regular course of business must be considered to your public without regard to y not in a lender's regular course of business must be considered to your public without regard to y not in a lender's regular course of business must be considered to your public without regard to y not in a lender's regular course of business must be considered to your public without regard to y not in a lender's regular course of business and your public without regard to y not in a lender's regular course of business activity, if any, of Lender lender to your public without regard to y not in a lender's regular course of business activity, if any, of Lender len	lending institutions, or any indebtedness created as part in the lender's regular course of business on terms our official status. Personal loans and loans received disclosed as follows: INTEREST RATE TERM (Months/Years) Whome SECURITY FOR LOAN None Personal residence
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